

# Audit the auditor program



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# Objective of Audit the Auditor program

To assess the effectiveness of the Auditor Approval system to:

- deliver outcomes under the Food Act.
- assess and monitor the performance of approved food safety auditors.



You must put your head into the lion's mouth if the performance is to be a success.

— *Winston Churchill* —

AZ QUOTES

# Management of the Approved Food Safety Auditor system.

- Complaints handling.
- Investigations into Approved auditors.
- Audit the Auditor Process and auditor assessment.
- The auditor will have the opportunity to be heard and provide their input.
- All evidence will be reported to Delegate and a decision made on continued Auditor Approval.

# Audit the Auditor Program

Audit the auditor program will have 8 Steps:

**Step 1** - Selection of auditors – 10 % per year ( 5 per quarter)

**Step 2** – Information gathering ( to be provided within 5 business days)

- Auditors Schedule will be provided to the department - 5 sites will be selected and reports requested.
- Information on audits completed requested from
  - » the Auditor and
  - » the registering Council.
  - » from the business may also be requested.

# Audit the Auditor Program

**Step 3** – Desktop assessment

**Step 4** – Check audit – Following an audit

**Step 5** – Witness audit with Department assessor (AO)

# Audit the Auditor Program

**Step 6** – Report on Assessment outcomes to Delegate.

**Step 7** – Review findings meeting with Auditor.

**Step 8** - Registration continues as per current requirements

» or

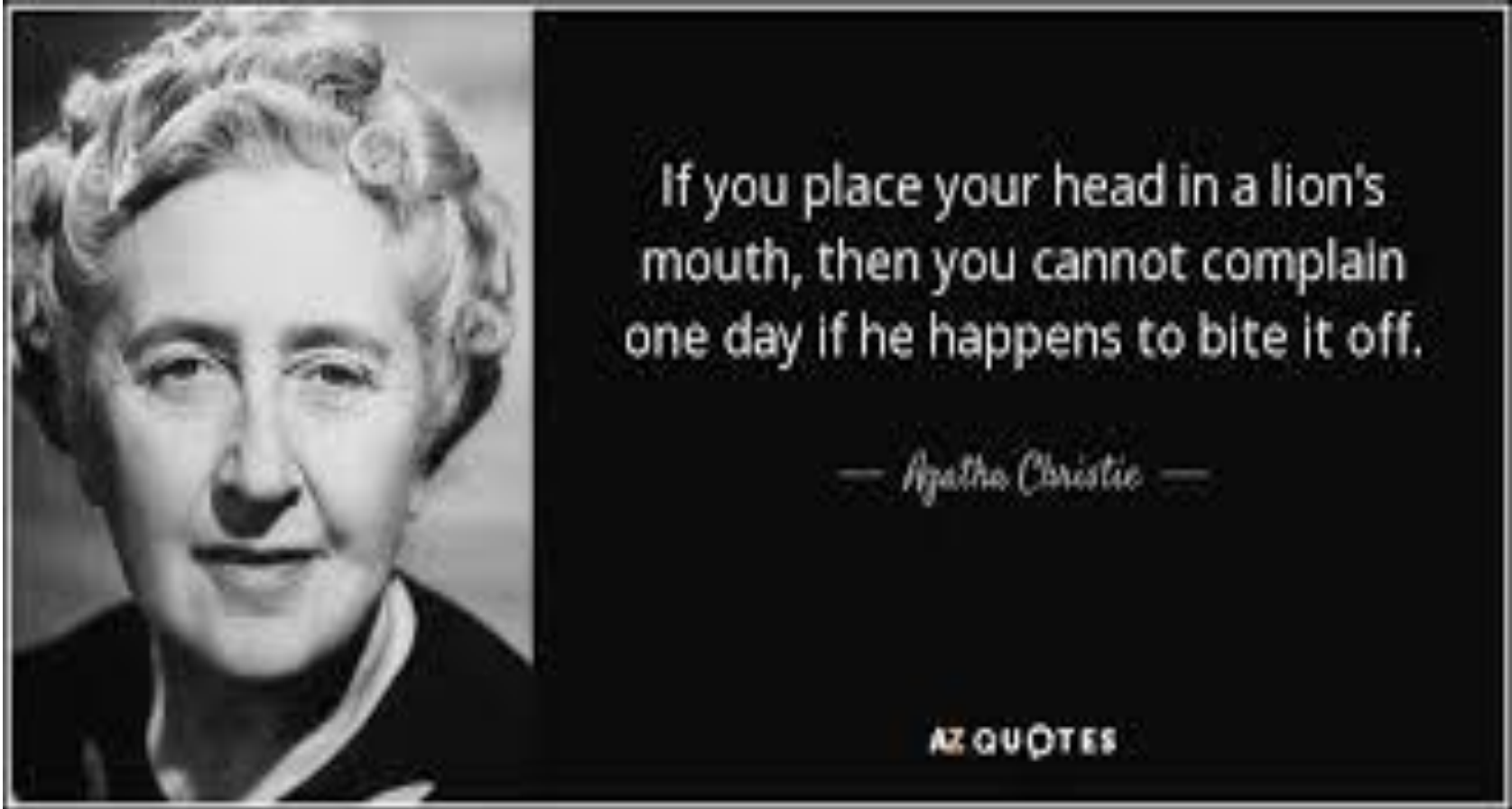
Additional conditions may be added where deemed necessary

**or**

Revocation of Approval : Section 19 T



## Findings and actions from here



If you place your head in a lion's  
mouth, then you cannot complain  
one day if he happens to bite it off.

— *Agatha Christie* —

AZ QUOTES

# Possible Outcomes of the process



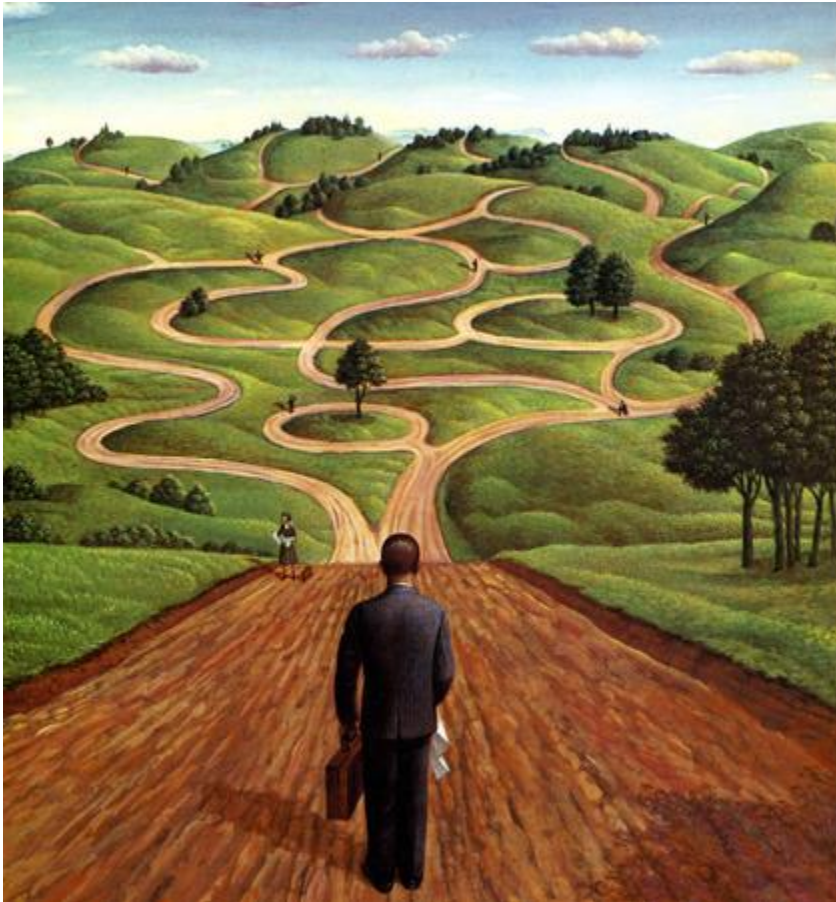
Outcomes in relation to the Auditors Approval as stated.

## **Secondary outcomes**

The findings will be reviewed against the roles and responsibilities of all players in the system not just the auditors.

To improve the effectiveness of the system there may be matters that need a more cohesive approach.

The registered businesses may need some support to ensure they are skilled to take the corrective actions in a timely manner and system maintenance.



## Where to from here:

Letter - Emails to be sent to auditors selected.

Commence the process.....

Expect councils to be contacted and information to be requested about Audited premises.

Councils will be contacted within 24 hrs if a premises will be check audited or a witness audit.

**Questions ?**

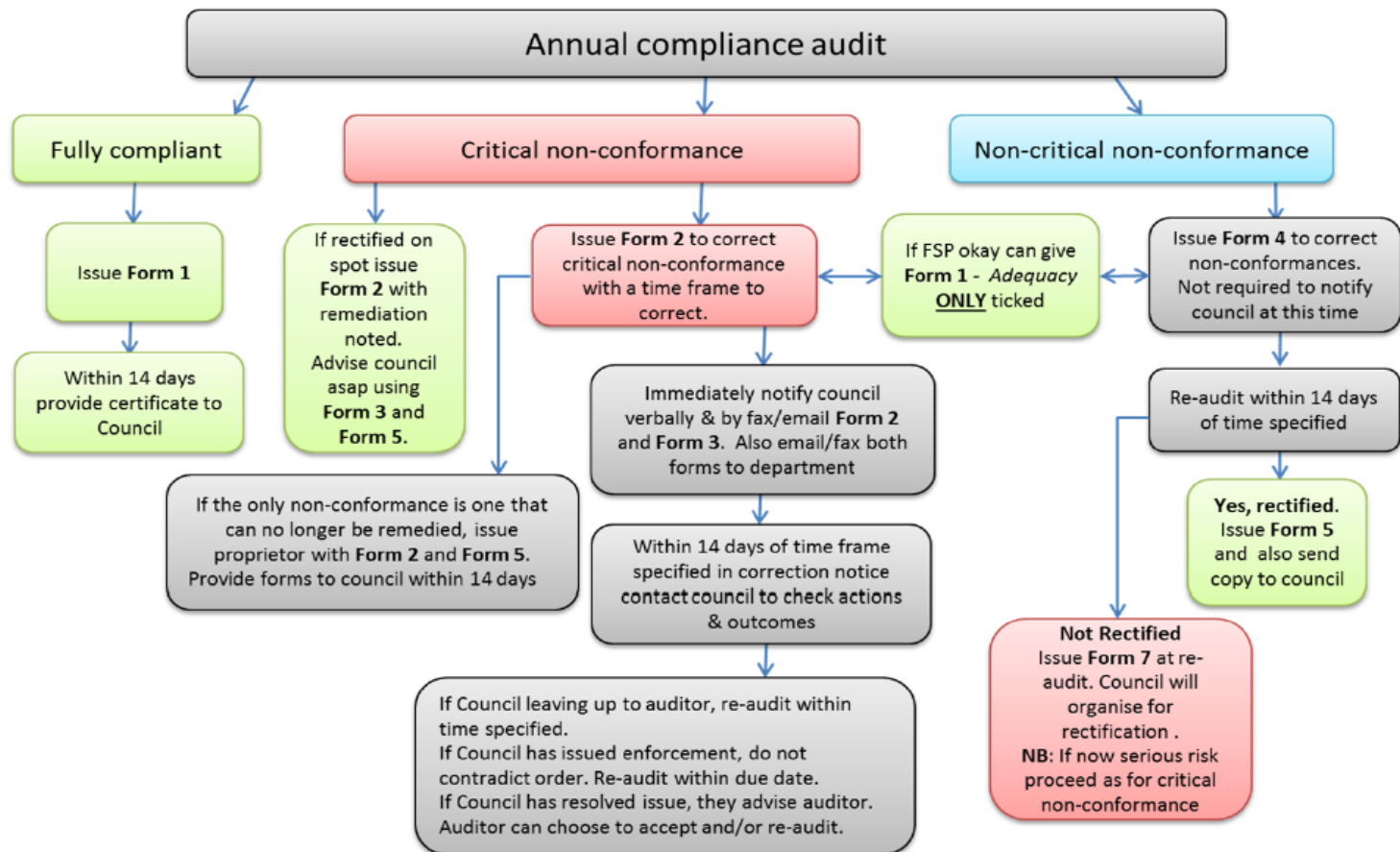
# Thank you

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# Compliance Audits and outcomes

## Appendix 6

### Flow diagram for compliance audit



# FSP Review – Adequacy Audit

## Appendix 5

### Flow diagram for adequacy audit

